

**STATE OF SOUTH DAKOTA FINANCING STATEMENT – UCC 1
APPROVED STANDARD FORM**

Secretary of State
500 E. Capitol • Pierre, SD 57501-5070 • 605-773-4422

Fee \$ _____

Account # _____

PLEASE TYPE THE INFORMATION ON THIS FORM ACCORDING TO ALL INSTRUCTIONS PRINTED ON THE BACK OF THE UCC 1 FORM

NOTE: Type smaller than 8 point is not acceptable. This is an example of 8 point type.

1. SECURED PARTY NAME AND ADDRESS insert only one secured party name (1a or 1b)

| | | | | | |
|---------------------|----------------------------|------|------------|-------------|---------|
| or | 1a. ORGANIZATION'S NAME | | | | |
| | 1b. INDIVIDUAL'S LAST NAME | | FIRST NAME | MIDDLE NAME | SUFFIX |
| 1c. MAILING ADDRESS | | CITY | STATE | POSTAL CODE | COUNTRY |

2. ASSIGNEE OF SECURED PARTY NAME AND ADDRESS insert only one assignee name (2a or 2b)

| | | | | | |
|---------------------|----------------------------|------|------------|-------------|---------|
| or | 2a. ORGANIZATION'S NAME | | | | |
| | 2b. INDIVIDUAL'S LAST NAME | | FIRST NAME | MIDDLE NAME | SUFFIX |
| 2c. MAILING ADDRESS | | CITY | STATE | POSTAL CODE | COUNTRY |

3. DEBTOR'S EXACT FULL LEGAL NAME – insert only one debtor (3a or 3b) – do not abbreviate or combine names.

| | | | | | |
|---------------------|----------------------------|------|------------|-------------|---------|
| or | 3a. ORGANIZATION'S NAME | | | | |
| | 3b. INDIVIDUAL'S LAST NAME | | FIRST NAME | MIDDLE NAME | SUFFIX |
| 3c. MAILING ADDRESS | | CITY | STATE | POSTAL CODE | COUNTRY |

| | | | | |
|-------------------------|-----------------------------------|--------------------------|----------------------------------|--------------------------------|
| 3d. TAX ID # SSN OR EIN | ADD'S INFO RE ORGANIZATION DEBTOR | 3e. TYPE OF ORGANIZATION | 3f. JURISDICTION OF ORGANIZATION | 3g. ORGANIZATIONAL ID#, if any |
| | | | | <input type="checkbox"/> NONE |

4. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME – insert only one debtor name (4a or 4b) – do not abbreviate or combine names.

| | | | | | |
|---------------------|----------------------------|------|------------|-------------|---------|
| or | 4a. ORGANIZATION'S NAME | | | | |
| | 4b. INDIVIDUAL'S LAST NAME | | FIRST NAME | MIDDLE NAME | SUFFIX |
| 4c. MAILING ADDRESS | | CITY | STATE | POSTAL CODE | COUNTRY |

| | | | | |
|-------------------------|-----------------------------------|--------------------------|----------------------------------|--------------------------------|
| 4d. TAX ID # SSN OR EIN | ADD'S INFO RE ORGANIZATION DEBTOR | 4e. TYPE OF ORGANIZATION | 4f. JURISDICTION OF ORGANIZATION | 4g. ORGANIZATIONAL ID#, if any |
| | | | | <input type="checkbox"/> NONE |

5. This Financing Statement covers the following types (or items) of property: If collateral is crops, the crops described below are growing or are to be grown on, OR if collateral is goods which are or are to become fixtures, the below goods are affixed or to be affixed to:

Check (X) if covered: ☐ PROCEEDS of collateral are also covered. ☐ PRODUCTS of collateral are also covered.

Use the following spaces only for Farm Products requiring EFFECTIVE FINANCING STATEMENT (EFS)

| FARM PRODUCT | CODE | YEAR | QUANTITY | COUNTY CODE | LOCATION IN COUNTY OR FURTHER DESCRIPTION |
|--------------|------|------|----------|-------------|---|
| | | | | | |

Pay proceeds to Debtor and Secured Party unless otherwise checked: ☐ Secured Party only ☐ Debtor only

Check only if applicable and check only one box. ☐ Debtor is a TRANSMITTING UTILITY ☐ Filed in connection with a Manufactured Home Transaction – effective 30 years.
☐ Filed in conjunction with a Public-Finance Transaction – effective 30 years

Signature(s) of Debtor(s)

Signature of Secured Party

Check to REQUEST SEARCH REPORT(S) on Debtor(s) ☐ All Debtors ☐ Debtor 1 ☐ Debtor 2

Number of Additional Sheets, if any:

1. **PLEASE TYPE THIS FORM.** Verify all information on the form for accuracy and correct spelling. Information will be entered on the computer and any error in the debtor's name, social security number, taxpayer ID number, organization information, or the EFS codes entered incorrectly will result in reporting errors.
2. **SDCL 57A-9-02** requires the social security number for each individual debtor, the debtor's internal revenue service (IRS) employer identification number of each business, corporation, tradename, d/b/a etc., to be listed.
3. An additional fee will be charged to all standard forms containing an attachment.
4. Submit the completed and properly signed statement to the Central Filing System together with the proper filing fee*. **You must file in the state of formation of an entity, not the state of the location of the asset.** At the time of filing, the filing officer will return the original copy of the financing statement as an acknowledgement which can be used for a termination.

* **FILING FEES: (UCC 1)**

| | |
|-------------------------------------|--------|
| Financing Statement | \$20 |
| Financing Statement with Assignment | \$20 |
| Acknowledgement Termination | NO FEE |

Add \$2 for each additional Debtor name.

\$4 additional for each standard form with an attachment.

PLEASE NOTE: Agencies may set up an account with the Secretary of State to pre-pay filing fees. For information please contact the UCC Division of the Office of the Secretary of State at (605) 773-5006.

Debtor name: Enter only one Debtor name in item 3, an organization's name (3a) or an individual's name (3b). Enter Debtor's exact full legal name. Don't abbreviate.

Organization Debtor: "Organization" means an entity having a legal identity separate from its owner. A partnership is an organization; a sole proprietorship is not an organization, even if it does business under a trade name. If Debtor is a partnership, enter exact full legal name of partnership; you need not enter names of partners as additional Debtors. If Debtor is a registered organization (e.g., corporation, limited partnership, limited liability company), it is advisable to examine Debtor's current filed charter documents to determine Debtor's correct name, organization type, and jurisdiction of organization.

Individual Debtor: "Individual" means a natural person; this includes a sole proprietorship, whether or not operating under a trade name. Don't use prefixes (Mr., Mrs., Ms.). Use suffix box only for titles of lineage (Jr., Sr., III) and not for other suffixes or titles (e.g., M.D.). Use married woman's personal name (Mary Smith, not Mrs. John Smith). Enter individual Debtor's family name (surname) in Last Name box, first given name in First Name box, and all additional given names in Middle Name box.

For both **organization and individual Debtors:** Don't use Debtor's trade name, DBA, AKA, FKA, Division name, etc. in place of or combined with Debtor's legal name; you may add such other names as additional Debtors if you wish (but this is neither required nor recommended).

"Additional information re organization Debtor" is always required. Type of organization and jurisdiction of organization as well as Debtor's exact legal name can be determined from Debtor's current filed charter document. Organizational ID #, if any, is assigned by the agency where the charter document was filed; this is different from tax ID #; this should be entered preceded by the 2-character U.S. Postal identification of state of organization if one of the United States (e.g., CA12345, for a California corporation whose organizational ID # is 12345); if agency does not assign organizational ID #, check box in item 3g indicating "none."

Please use the following code numbers to complete the **FARM PRODUCTS** section of the form (if your product is not listed, please contact the Secretary of State's Office):

| | | | | |
|--------------------------------|-----------------------|-------------------------------------|-------------------------|--------------------------|
| 1001 buckwheat | 2016 sudan | 3205 broccoli | 3600 mushrooms | 5604 game birds |
| 1002 spring wheat | 2100 hay | 3206 cauliflower | 5001 beef cattle/calves | 5605 ostrich |
| 1003 winter wheat | 2200 ensilage | 3207 lima beans | 5002 buffalo | 5701 mink and pelts |
| 1005 straw | 2300 potatoes | 3208 green beans | 5003 bison | 5702 rabbits |
| 2001 barley | 2400 sugar beets | 3209 garbanzo beans | 5101 sheep & lambs | 5703 fox and pelts |
| 2002 rye (including triticale) | 2500 dry beans | 3210 carrots | 5102 wool | 5704 lynx, bobcat, pelts |
| 2003 oats | 2600 sweet corn | 3211 turnips | 5103 goats | 5705 coyote & pelts |
| 2004 sorghum grain | 2700 onions | 3212 asparagus | 5104 llamas | 5750 dogs |
| 2005 flaxseed | 2800 mint | 3213 spinach & collards | 5200 hogs | 5751 cats |
| 2006 safflower | 2901 popcorn | 3214 pumpkin & squash | 5301 dairy cattle | 5752 gerbils |
| 2007 field corn | 2902 sunflower seeds | 3215 watermelon | 5302 milk | 5801 bees / hives |
| 2008 alfalfa | 3000 soybeans | 3216 muskmelon | 5303 cream | 5802 honey |
| 2009 clover | 3001 field peas | 3217 cantaloupe | 5401 horses | 5803 beeswax |
| 2010 millet | 3101 grass for seed | 3301 apples | 5402 mules | 5901 fish |
| 2011 milo | 3102 alfalfa for seed | 3302 plums | 5501 chickens | 6001 deer |
| 2012 durum | 3103 mustard | 3401 strawberries | 5502 eggs | 6002 elk |
| 2013 rape seed | 3201 green peas | 3402 raspberries | 5601 turkeys | 6100 worms |
| 2014 canola | 3202 tomatoes | 3501 sod | 5602 ducks | 6201 cattle semen |
| 2015 cane | 3203 lettuce | 3502 nursery stock (trees & shrubs) | 5603 geese | 6202 horse semen |
| | 3204 cucumbers | 3503 flowers & potted plants | | |

YEAR: For a crop grown in soil, the calendar year in which it is harvested or to be harvested. For animals, the calendar year in which they are born or acquired. For poultry or eggs, the calendar year in which they are sold or to be sold. If "year" and "quantity" are left blank, perfection continues for all crops for every year the statement is effective, and for all crop years during the effective period of the statement.

QUANTITY: Number of bushels, head of livestock, or other commonly used identifier. Specify the units.

COUNTY CODE: Use the code below which is the same as the license plate county code. The county where produced and where located should be listed.

| | | | | |
|----------------------|---------------------|---------------------|---------------------|-------------------|
| Aurora..... 10 | Corson 20 | Hamlin 32 | Lincoln 44 | Roberts 55 |
| Beadle 04 | Custer 21 | Hand 33 | Lyman 45 | Sanborn 56 |
| Bennett 11 | Davison 08 | Hanson 34 | McCook 46 | Shannon 65 |
| Bon Homme 12 | Day 22 | Harding 35 | McPherson 47 | Spink 57 |
| Brookings 06 | Deuel 23 | Hughes 36 | Marshall 48 | Stanley 58 |
| Brown 03 | Dewey 24 | Hutchinson 37 | Meade 49 | Sully 59 |
| Brule 13 | Douglas 25 | Hyde 38 | Mellette 50 | Todd 67 |
| Buffalo 14 | Edmunds 26 | Jackson 39 | Miner 51 | Tripp 60 |
| Butte 15 | Fall River 27 | Jerauld 40 | Minnehaha 01 | Turner 61 |
| Campbell 16 | Faulk 28 | Jones 41 | Moody 52 | Union 62 |
| Charles Mix 17 | Grant 29 | Kingsbury 42 | Pennington 02 | Walworth 63 |
| Clark 18 | Gregory 30 | Lake 43 | Perkins 53 | Yankton 07 |
| Clay 19 | Haakon 31 | Lawrence 09 | Potter 54 | Ziebach 64 |
| Codington 05 | | | | |

LOCATION IN COUNTY: If the "location in county" portion of the EFS is left blank, the statement will pertain to all of the product in the listed county. This can be used for further description of the collateral.